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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number 10/611,431

Filing Date 2 July 2003

First Named Inventor Paul C. BRANTNER

Art Unit 2834

Examiner Name M. Budd

Attorney Docket Number 014.2002

### ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
  - ☐ Petition to Convert to a Provisional Application
  - ☐ Power of Attorney, Revocation
  - ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Letter re: IDS with copy of 12-18-2003 receipt card, IDS, SB/08/a and one Reference

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Schwartz Sung & Webster

Signature

Printed name Jeff E. Schwartz

Date 17 April 2006

Reg. No. 39,019

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Jeff E. Schwartz

Date 17 April 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. 10/611,431  
Applicants Paul BRANTNER et al.  
Filed 2 July 2003  
TC/Art Unit 2834  
Examiner M. Budd

Confirmation No. 1436

Docket No. 014.2002  
Customer No. 49837

**LETTER REGARDING INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Applicants gratefully acknowledge the Supplemental Notice of Allowability for the captioned application, mailed 7 April 2006, which indicated the drawings as previously filed are accepted. Applicants further note the Examiner stated the PTO file for the captioned application does not contain an Information Disclosure Statement. A copy of the Information Disclosure Statement filed on 18 December 2003, together with the date-stamped receipt listing the IDS, Form PTO/SB/08a and 15 cited references is attached. Additionally, a copy of the only non-U.S. patent cited reference is also attached.

Applicants respectfully request the Examiner return a copy of the form PTO/SB08/a with all cited references initialed.

Respectfully submitted,

17 April 2006

Jeff E. Schwartz  
Reg. No. 39,019

Schwartz Sung & Webster  
300 Massachusetts Avenue, NW, Suite 1101  
Washington DC 20001  
202.289.6291 (tel)  
866.435.1389 (fax)



STAMP AND RETURN

Preston|Gates|Ellis &  
Rouvelas|Meeds LLP



**MAIL BOX: MISSING PARTS**

The "Received" stamp of the U.S. Patent and Trademark Office hereon acknowledges receipt of the accompanying Patent Application of:

Inventor: Paul BRANTNER et al.

Application No. 10/611,431

Filed: 2 July 2003

For: METHOD AND APPARATUS FOR AN AMBIENT ENERGY BATTERY OR CAPACITOR  
RECHARGE SYSTEM

**Including:**

Check # 16227 in the amount of \$1390.00; Part Two Copy Notice to File Missing Parts; Reply to Notice to File Missing Parts (2 pgs); Patent Office Fee Transmittal Letter; Declaration and Power of Attorney (2 pgs.); Copy of Specification and Drawings as filed on 2 July 2003 (20 pgs); Submission to Correct Entity Status; Recordation Form Cover Sheet; Assignment (2 pgs); Information Disclosure Statement (2 pgs); and Form PTO/SB/08A with fifteen cited references

Filing Date: 18 December 2003

Due Date: 5 January 2004

Atty. Docket: 48469-00005

Inspected: \_\_\_\_\_





**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.	10/661,431	Confirmation No.	1436
Applicant	Paul BRANTNER et al.		
Filed	2 July 2003		
TC/Art Unit	2838		
Examiner	Unassigned		
Docket No.	48469-00005		
Customer No.	23767		

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56(b)**

Mail Stop Missing Parts  
Commissioner for Patents  
Alexandria VA 22313-1450

Sir:

In order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56, submitted herewith on Form PTO/SB/08A is a listing of documents known to Applicants. The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. § 609.

Application No. 10/611,431

Attorney Docket No. 48469-00005

This statement is being filed before the mailing of a first official action on the merits. Accordingly, no fee is required in connection with this filing. Nonetheless, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment associated with the filing of this paper to undersigned's Deposit Account No. 50-1067.

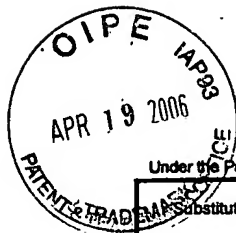
Respectfully submitted,



Jeff E. Schwartz  
Reg. No. 39,019

18 December 2003

Preston Gates Ellis & Rouvelas Meeds LLP  
1735 New York Avenue, NW, Suite 500  
Washington, DC 20006  
Telephone: (202) 628-1700  
Facsimile: (202) 331-1024



PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/611,431
				Filing Date	2 July 2003
				First Named Inventor	Paul BRANTNER et al.
				Art Unit	2838
Sheet	1	of	1	Examiner Name	Unassigned
				Attorney Docket Number	48469-00005

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	3,559,027	01-26-1971	Arsem	
	A2	4,185,621	01-29-1980	Morrow	
	A3	4,239,974	12-16-1980	Swander et al.	
	A4	4,320,477	03-16-1982	Baumgartner	
	A5	4,360,860	11-23-1982	Johnson et al.	
	A6	4,504,761	03-12-1985	Triplett	
	A7	4,523,261	06-11-1985	West	
	A8	4,701,835	10-20-1987	Campagnuolo et al.	
	A9	4,943,752	07-24-1990	Todd et al.	
	A10	5,039,928	08-13-1991	Nishi et al.	
	A11	5,065,067	11-12-1991	Todd et al.	
	A12	5,838,138	11-17-1998	Henty	
	A13	6,307,142 B1	10-23-2001	Allen et al.	
	A14	6,342,776 B1	01-29-2002	Taylor et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A15	IBM Systems Journal, Vol. 35, Nos. 3&4, 1996 - MIT Media Lab "Human-powered wearable computing"	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.